

ANNEX 1

FORECAST OUTTURN 2016/17

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ANNEX 1 - FORECAST OUTTURN 2016/17

Introduction

This annex summarises the current position and forecast outturn for both the revenue and capital elements of the General Fund and HRA. Our risk based forecasting reflects the diverse nature of the Council's activities and the wide range of cost and income drivers. This has been used in finalising the draft MTFP for 2017/18 – 2019/20. Some report tables may not sum exactly due to rounding.

General Fund Revenue - Overview

Table 1A shows the current forecast outturn using best, medium and worst case scenarios. This is based on the ledger position as at 31 December 2016, updated for known future factors and the projected impact of positive management action to address identified pressures, and shows a medium case adverse forecast outturn variance of **£1.003m** before retention of trading account surpluses. The Council is committed to delivering an on budget outturn and the MTFP 2017/18 reflects this commitment. Management action is in place to ensure that this happens.

In accordance with the trading account principles set out in the Medium Term Financial Strategy, 50% of traded surplus may be retained for reinvestment in the service. The decision to reserve these surpluses is to be taken in the context of the overall corporate outturn position. The potential impact of traded surplus retention is also shown in Table 1A. This does not take account of the usual year end council-wide adjustments and carry-forwards, which reflect that the Council is a going concern. Figures in brackets indicate an underspend.

TABLE 1A: CURRENT FORECAST OUTTURN AS AT 31.12.16 (Q3)						
OUTTURN VARIANCE 2015/16 £m¹	MEDIUM CASE @ 30.06.16 (Q1)	MEDIUM CASE @ 30.09.16 (Q2)	PORTFOLIO	(UNDER) / OVER SPEND		
				BEST £m	MEDIUM CASE £m	WORST £m
(0.825)	0.954	1.215	Adults and Health	1.155	1.255	1.255
(1.224)	(1.326)	(0.699)	Community Services	(2.513)	(2.432)	0.081
0.430	1.138	0.960	Early Intervention & Early Years	1.395	1.795	2.195
0.671	2.081	2.081	Education, Employment and Skills	1.676	2.081	2.081
(0.261)	0.000	0.000	Energy and Sustainability	0.039	0.063	0.273
1.180	(0.313)	(0.498)	Business, Growth and Transport	(0.569)	(0.569)	0.280
(0.528)	(0.208)	(0.577)	Leisure and Culture	(0.892)	(0.624)	0.268
(0.417)	0.023	0.117	Planning and Housing	0.000	0.000	0.000
0.322	0.175	0.134	Resources and Neighbourhood Regeneration	0.070	0.271	0.320
(0.071)	(0.336)	(0.301)	Strategic Regeneration	(0.026)	(0.026)	0.000
0.723	2.188	2.432	TOTAL PORTFOLIOS	0.335	1.814	6.754
(0.965)	0.082	(0.418)	Corporate budgets	(0.811)	(0.811)	(0.811)
(1.688)	2.270	2.014	NET COUNCIL POSITION²	(0.476)	1.003	5.943
			Traded surplus retained	1.032	0.919	(0.113)
NET COUNCIL POSITION POST TRADING SURPLUS RETENTION				0.556	1.921	5.829
Change – best to medium				1.365		
Change – medium to worst				3.908		

Notes 1: outturn before carry forwards 2. Forecast before traded surplus retentions

The final outturn position impacts on general reserves; underspends increase reserves and overspends decrease them. General reserves provide an essential financial safety net to cover above-budget costs. The value of the safety net is advised by the Chief Finance Officer (CFO) following a risk assessment. If general reserves fall below the minimum defined level towards the end of the year, the shortfall should be replenished when setting the budget for the following year.

Variiances - Headline Issues

The following sections describe the major issues impacting on the medium case forecast.

Adults and Health Portfolio - £1.255m ADVERSE

Adults £0.961m adverse

The gross overspend of £3.609m is made up of:

1. £2.217m of demand changes on care packages.
2. £0.750m increase in payments to homecare providers to maintain suppliers.
3. £0.470m from the reconfiguration of a 2015/16 strategic choice which relates to a capital investment for a new Learning Disability provision.
4. £0.172m contractual increases for residential care providers in the county. This has been instigated through the increased rates Notts County Council agreed to pay the external market.

An element of this has been mitigated by:

1. Planned use of external funding (£1.9m).
2. Use of corporate contingency (£0.750m).

Management Action / measures to contain:

1. Exhausting all possibilities of grant and partner contributions.
2. Ensuring care packages are appropriate.

There is increased funding within the 2017/18 MTFP for demand costs.

Commissioning and Procurement £0.294m adverse

The over spend is due to cost of delivering a procurement function for the organisation.

Mitigation is being progressed through consistently reviewing spend in other areas of the service.

Community Services Portfolio – £2.432m FAVOURABLE

Neighbourhood Services £2.133m favourable

Security Services (Part of Uniform Services) £0.299m favourable

Positive action on growing sales and controlling costs as indicated in business plans has led to this improving net budget position in traded areas. The best case is based on the Business Plan targets from the department.

Early Intervention and Early Years Portfolio - £1.795m ADVERSE

Children's £1.695m adverse

The gross overspend of £3.682m is made up of:

1. £1.994m from the investment profile of the Newly Qualified Social Worker (NQSW) programme and the associated double running costs whilst the NQSW are undertaking their post qualification training.
2. £1.688m cost of children in care. This increased cost is predominantly due to complexity and not a material increase in numbers and the inclusion of the cost of Unaccompanied Asylum Seekers. This number has increased from 8 to 22.

An element of this has been mitigated by:

1. Managing underspends in other areas of the service (£0.847m).
2. One of use of reserves (£0.600m)
3. One off grant maximisation (£0.540m).

Management Action / measures to contain

1. Exhausting all possibilities of grant and partner contributions.
2. Ensuring care packages are appropriate.
3. Consistently reviewing spend in other areas of the service

There is increased funding within the 2017/18 MTFP for demand costs.

Education, Employment and Skills Portfolio - £2.081m ADVERSE

Education £2.081m adverse

The material issues contributing to this overspend are:

1. An increase in demand for Schools Education Transport of £1.190m, this area overspent by £0.705m in 2015/16.
2. Education Service Grant reductions of £0.853m.

Management Action / measures to contain:

The contribution from partners has been maximised for this service and demand is a statutory obligation. This pressure is being incorporated in to the 2017/18 budget process.

Mitigation is being progressed through:

1. Exhausting all possibilities of grant and partner contributions.
2. Consistently reviewing spend in other areas of the service.

There is increased funding within the 2017/18 MTFP for demand costs and savings to mitigate the reduction in grant.

Energy and Sustainability Portfolio - £0.063m ADVERSE

Customer Access Programme £0.230m adverse

There is an old savings target of £0.250m attached to the programme but this has not yet been fully achieved.

Business, Growth and Transport Portfolio – £0.569m FAVOURABLE

Highways & Energy Infrastructure £0.400m favourable

Neighbourhood Services £0.369m favourable

Positive action on growing sales and controlling costs as indicated in business plans has led to this improving net budget position in traded areas. The best case is based on the Business Plan targets from the department.

Woodfield Industries £0.200m adverse

There has been a delay in the restructure of the service that will bring in-year savings. Action is being undertaken to review other contributions to mitigate these issues.

Leisure and Culture Portfolio - £0.624m FAVOURABLE

Royal Centre £0.352m favourable

Sport & Leisure £0.199m favourable

Cemeteries & Crematoria £0.151m favourable

Museums £0.101m favourable

Positive action on growing sales and controlling costs as indicated in business plans has led to this improving net budget position in traded areas. The best case is based on the Business Plan targets from the department. Within Sport & Leisure there are also pressures due to:

- Harvey Hadden business rates charge higher than budget. The valuation is being appealed by Property Services consultants;
- £55k late notification of the end of targeted Public Health grant

Markets £0.268m adverse

Agreed Service Charge at Victoria Centre Indoor Market. Phased plan agreed for three years to implement the increase to traders.

Resources and Neighbourhood Regeneration Portfolio – £0.271m ADVERSE

Neighbourhood Services £0.201m favourable

Positive action on growing sales and controlling costs as indicated in business plans has led to this improving net budget position in traded areas. The best case is based on the Business Plan targets from the department.

Civic and Coronial Services £0.119m adverse

Increased case numbers and legislative changes in the Coroner's service are contributing to this projected overspend, together with aspirational income targets in registration without provision for associated costs. Work is taking place with the service to try and mitigate the cost where possible, and this will be incorporated into the 2017/18 budget process.

Strategic Regeneration Portfolio – £0.026m FAVOURABLE

Neighbourhood Services £0.026m favourable

Positive action on growing sales and controlling costs as indicated in business plans has led to this improving net budget position in traded areas. The best case is based on the Business Plan targets from the department.

Corporate Budgets – £0.811m FAVOURABLE

Treasury Management £0.723m favourable

Decision to delay the taking of new long term borrowing and so saving in the short term on interest payable and due to capital programme slippage there was an underspend against the budget for debt repayment.

Corporate Contingency

This enables management of the financial impact of issues that were not reflected when the budget was set. It is allocated under the delegated authority of the CFO in consultation with the Deputy Leader using designated criteria. Services are required to accommodate unforeseen expenditure and/or income shortfalls from within their cash limited budgets, only seeking allocations where this is proven to be impossible. Contingency is £2.000m in 2015/16 and Table 1B shows the allocations approved by the CFO and Deputy Leader since the December report, which now require endorsement.

TABLE 1B: CONTINGENCY ALLOCATIONS REQUIRING ENDORSEMENT	
ITEM	£m
Nottingham Cold Weather Plan	0.099
Forest Fields Advice Centre	0.002
Communications and Marketing Publications	0.037
TOTAL	0.138

This leaves a remaining balance of £0.542m, although there are further pending applications which will be reported as part of the next monitoring report. Forecast outturn assumes full use of contingency, and any unused element at year end will be reserved.

Progress on implementation of budget decisions

Cost Reductions

Table 2 summarises progress made by portfolio on implementing new budgeted cost reductions totalling £20.826m. At this stage £0m (0%) is not expected to be achieved.

TABLE 2: COST REDUCTIONS					
PORTFOLIO	2016/17 TOTAL £m	Projected year end position £m	Not expected to be achieved		Achieved from alternate source £m
			£m	%	
Adults and Health	(5.017)	(5.017)	0.000	0.00	0.000
Community Services	(1.676)	(1.676)	0.000	0.00	0.000
Early Intervention and Early Years	(2.900)	(2.900)	0.000	0.00	0.000
Energy and Sustainability	(0.854)	(0.854)	0.000	0.00	0.000
Education, Employment and Skills	(0.552)	(0.552)	0.000	0.00	0.000
Business, Growth and Transport	(1.515)	(1.515)	0.000	0.00	0.000
Leisure and Culture	(0.623)	(0.623)	0.000	0.00	0.000
Planning and Housing	(0.825)	(0.825)	0.000	0.00	0.000
Resources and Neighbourhood Regen	(6.864)	(6.864)	0.000	0.00	0.000
Strategic Regeneration	(0.000)	(0.000)	0.000	0.00	0.000
TOTAL	(20.826)	(20.826)	0.000	0.00	0.000

Pressures

£4.325m of pressures are included within the 2016/17 budget and are expected to be used by 31 March 2017. This includes £1.531m Adults demographic and £1.694m Children in Care demographic pressures.

Debtors Monitoring (Appendix D)

Housing Rents

The Q3 collection rate was 98.17%, against the target of 98.40%. Although this is still slightly behind target the trend is continued improvement in performance over the year. There are measures in place to maximise collection over the final quarter in order to achieve the end of year target.

Council Tax

Collection rate is 76.93, 0.73% above the profiled target of 76.20% for Q3, and ahead by 0.37% compared to the same period in 2015/16. Collection amounted to £90.5m compared to collection of £86.3m for the same reporting period in 2015/16.

National Non- Domestic Rates (NNDR)

The collection rate is 0.11% below the Q3 collection target of 80.50%. Collection amounted to £108.3m, the compared to collection of £105.2m for the same reporting period in 2015/16.

Sundry Income

The percentage of debts collected within 90 days in the 12 months to December 2016 was 81.70% which is an improvement from the Q2 figure of 77.30%. The debtor day indicator (which shows how quickly debts are recovered) is currently 37 days, behind the target of 32 days.

Adult Residential Services

The collection rate for Q3 is 0.03% below the target of 95.90%, as we have a number of cases pending probate and property sale before capital can be accessed, in addition to accounts in the process of legal action.

Estates Rents

The collection rate of 95.31% is below the set target of 97.50%. A review of collection procedures is underway to identify opportunities to increase the collection rate.

Movement of Resources

Transfers of services between directorates and/or portfolios are reflected in the monitoring figures. These transfers now require approval and are listed in **Appendix B**.

Movements in Earmarked Reserves

Earmarked reserves are funds set aside for specific purposes. At 1 April 2016 the balance of earmarked reserves was £174.931m (including Schools Statutory Reserves, workforce issues, Insurance, and NET Private Finance Initiative (PFI) grant and decisions taken at Outturn 2015/16). During the year there has been a net increase of £3.623m in earmarked reserves, this includes movements relating to previously approved decisions. Further movements will be reported at outturn.

Table 3 summarises the movements in each category of reserves during 2016/17. **Appendix C** provides more details of movement in reserves.

TABLE 3: NET MOVEMENTS IN RESERVES 2016/17	
TYPE OF TRANSFER	TOTAL £m
Replenishment of existing reserve	(35.457)
Use of existing reserves	33.688
Previous MTFP decisions*	(0.265)
Reserves to Capital Schemes	(1.589)
Grand Total	(3.623)

*already approved by Executive Board

As part of the 2017/18 budget process, a review of earmarked balances has been undertaken to re-affirm the purpose of the reserves and the likely timescale that these reserves will be utilised.

HRA – Revenue Overview

The HRA budget was approved by the City Council at the March 2016 meeting and budgeted for a working balance of £4.000m brought forward at 31 March 2016 and closing balance of £4.000m at 31 March 2017. The actual working balance was **£4.000m** as included in the Pre-audit Corporate Financial Outturn 2015-16 report to Executive Board meeting on 28th June 2016. The working balance acts as a contingency to cover unexpected significant expenditure or loss of income.

Table 4 shows the revised working balance at 31 March 2017.

TABLE 4: HOUSING REVENUE ACCOUNT WORKING BALANCE	
	£m
Estimated balance at 31 March 2017	4.000
Rent income – under-recovery	(0.111)
Tenant Incentive Scheme	(0.220)
Retained Housing – vacancy & other savings	0.331
Revised working balance at 31 March 2017	4.000

Rent income: Reduction of £0.111m

The level of rent collected is reduced due to higher levels of Right to Buy sales than projected.

Tenant incentive scheme: Increase of £0.220m

The Responsible Tenant Reward Scheme is in its third year of operation and has had a high level tenants meeting the criteria for the payments..

Retained Housing: Reduction of £0.331m

The reduction is made up of staff vacancy savings in the retained housing teams and several other small underspends including legal fees.

Capital Programme Update

The capital monitoring report for quarter 2 stated an overall Capital Programme for 2016/17 **£202.075m**. During quarter 3 schemes to the value of **£43.125m** have been approved while slippage and other movements of approved schemes equates to **(£11.443m)**. Actual spend to the end of quarter 3 is **£92.511m**.

Table 5 shows the Capital Programme movement in quarter 3.

TABLE 5: REVISED CAPITAL PROGRAMME AND ACTUAL SPEND FOR QUARTER 3						
PORTFOLIO	Projected Outturn at Q2 £m	New Approvals (Spend 16/17) £m	Slippage / Reprofiting £m	Projected Outturn at Qtr3 £m	Actual Spend to Qtr3 £m	New Approvals (Spend 17/18+) £m
Public Sector Housing	62.347	0.000	(4.479)	57.868	28.506	0.000
Transport Scheme	17.576	0.800	1.992	20.368	11.209	6.168
Education / Schools	18.308	0.070	(0.155)	18.223	14.498	0.000
All Other Services	103.844	42.255	(8.801)	137.298	38.298	26.800
TOTAL	202.075	43.125	(11.443)	233.756	92.511	32.968

Approvals in Quarter 3

Scheme amendments and additions of **£43.125m** have been approved in quarter 3 and included in the programme for 2016/17. In addition **£32.968m** additions have been included as future commitments.

Details of new approvals over the value of £1.000m are listed below:

£41.206m Various Investment Property Acquisitions: These property purchases have been funded by Prudential Borrowing. The acquisitions are expected to result in increased income to the Chamber Estate investment portfolio.

£19.600m Skills Hub: Nottingham City Council has agreed a land swap and loan, subject to agreeing terms, to enable development of a new Skills Hub in the City of Nottingham.

£6.000m Nottingham Enterprise Zone is a project to deliver a sustainable transport programme within a key development site of Nottingham.

For further details of all quarter 3 approvals are provided in Appendix A.

Slippage / Reprofiting

Scheme slippage / reprofiling in 2016/17 at quarter 3 are (**£11.443m**). Schemes where slippage is over £1.000m are detailed below in **Table 6**.

TABLE 6: QUARTER 3 SLIPPAGE / REPROFILING			
Scheme	2016/17 Scheme Forecast at Qtr2 £m	2016/17 Scheme Forecast at Qtr3 £m	Movement £m
Leisure and Culture			
Highfields Park - Refurbishment	3.452	0.200	(3.252)
TOTAL - Leisure and Culture	3.452	0.200	(3.252)
Energy and Sustainability			
Solar Panels - Colwick Park & Ride Site	1.236	0.000	(1.236)
District Heating - Replacement of Network	1.610	3.813	2.203
TOTAL - Energy and Sustainability	1.610	3.813	2.203
Resources and Neighbourhood Regeneration			
IT- Project Evolution	2.105	1.069	(1.036)
TOTAL - Resources and Neighbourhood Regeneration	2.105	1.069	(1.036)
Public Sector Housing			
Affordable Homes - Garage Sites	3.739	5.224	1.485
TOTAL Public Sector Housing	3.739	5.224	1.485
Other movements			(10.843)
TOTAL - Slippage / Reprofiling at Quarter 3			(11.443)

Public Sector Housing (HRA) Capital Programme

The Public Sector Housing programme has been updated to reflect movements in quarter 3, the table below sets out the updated programme and resources.

Table 7 sets out the updated programme and resources.

TABLE 7: PUBLIC SECTOR HOUSING - CAPITAL PROGRAMME AND RESOURCES						
PORTFOLIO	2016/17 £m	2017/18 £m	2018/19 £m	2019/20 £m	2020/21 £m	Total £m
Public Sector Housing Programme	57.868	61.101	40.962	33.050	33.477	226.458
Resources Available						
Resources b/fwd	50.110					50.110
Prudential Borrowing	0.000	0.000	4.296	7.174	5.408	16.878
Grants & Contribution	2.640	3.272	1.047	0.301	0.000	7.259
Major Repairs Allowance	27.078	27.329	27.260	27.066	26.903	135.636
Internal Funds / Revenue	5.528	3.717	0.877	0.000	0.000	10.123
Capital Receipts Secured	1.265	0.000	0.000	0.000	0.000	1.265
Subtotal Resources	86.621	34.318	33.480	34.541	32.311	221.271
Capital Receipts Unsecured	0.000	3.604	1.908	0.200	0.200	5.912
Cumulative (Surplus)/Shortfall	(28.753)	(5.574)	0.000	(1.690)	(0.724)	(0.724)

General Fund Capital Programme

The General Fund programme has been upgraded to reflect the movements in quarter 3. The table below sets out the updated programme and resources for each portfolio. Schemes in development arising from the investment strategy which have been approved pending business cases, the schemes in development have been split between the initial approved amount and any additional expenditure identified during the business case process.

TABLE 8: GENERAL FUND - CAPITAL PROGRAMME AND RESOURCES						
PORTFOLIO	2016/17 £m	2017/18 £m	2018/19 £m	2019/20 £m	2020/21 £m	Total £m
Programme						
Transport Schemes	20.368	25.341	9.858	5.434	0.000	61.001
Education / Schools	18.223	5.477	0.000	0.000	0.000	23.700
Other Services	137.298	36.009	38.592	28.358	18.133	258.390
Schemes in Development	2.955	81.163	84.842	16.391	0.000	185.351
Total Programme	178.844	147.990	133.292	50.183	18.133	528.442
Resources Approved						
Prudential Borrowing	101.300	83.398	98.750	27.797	15.782	327.027
Grants & Contribution	56.485	48.436	28.923	14.996	1.889	150.729
Internal Funds / Revenue	16.026	3.573	3.776	0.247	0.000	23.622
Secured Capital Receipts	1.143	0.000	0.000	0.000	0.000	1.143
Unsecured Capital Receipts	3.959	13.478	2.962	6.750	0.750	27.899
Total Resources	178.913	148.885	134.411	49.790	18.421	530.420
Cumulative (Surplus)/Shortfall	(0.069)	(0.964)	(2.083)	(1.690)	(1.978)	(1.978)

Transport Schemes						
Scheme	2016/17 £m	2017/18 £m	2018/19 £m	2019/20 £m	2020/21 £m	Total £m
Nottingham Enterprise Zone	0.800	5.200	0.000	0.000	0.000	6.000
National Productivity Investment Fund	0.000	0.968	0.000	0.000	0.000	0.968
TOTAL - Transport Schemes	0.800	6.168	0.000	0.000	0.000	6.968

Children's Services - Schools						
Scheme	2016/17 £m	2017/18 £m	2018/19 £m	2019/20 £m	2020/21 £m	Total £m
Fencing and Main Entrance at Springfield Primary School	0.070	0.000	0.000	0.000	0.000	0.070
TOTAL - Children's Services - Schools	0.070	0.000	0.000	0.000	0.000	0.070

Other Services						
Scheme	2016/17 £m	2017/18 £m	2018/19 £m	2019/20 £m	2020/21 £m	Total £m
Adults Health & Community Sector						
Integrated Community Equipment Services	(0.084)	0.336	0.336	0.336	0.336	1.260
Community Services						
Area Capital Fund	(0.189)	0.000	0.000	0.000	0.000	(0.189)
Energy & Sustainability						
Eastcroft Combined Heat & Power Plant Works	0.000	(0.359)	0.806	(2.164)	0.439	(1.278)
District Heating - Replacement of Network	0.982	2.154	1.644	1.044	1.488	7.312
Jobs & Growth						
Vehicle Acquisitions	(0.062)	0.000	0.000	0.000	0.000	(0.062)
Leisure and Culture						
Victoria Embankment Memorial Garden	0.000	0.061	0.000	0.000	0.000	0.061
Whitemoor Nature Reserve	0.000	0.030	0.000	0.000	0.000	0.030
Stockhill Park	0.000	0.030	0.000	0.000	0.000	0.030
Whitemoor & Bagthorpe Allotments	0.000	0.030	0.000	0.000	0.000	0.030
Stockhill Circus Allotments	0.000	0.005	0.000	0.000	0.000	0.005

Other Services Continued						
Scheme	2016/17 £m	2017/18 £m	2018/19 £m	2019/20 £m	2020/21 £m	Total £m
Planning and Housing						
Affordable Housing Delivery at Church Square	0.123	0.000	0.000	0.000	0.000	0.123
Disabled Facilities Grants	(0.347)	(0.447)	(0.253)	0.353	0.353	(0.341)
Resources & Neighbourhood Regeneration						
Property Aq - Project Duke	14.449	0.000	0.000	0.000	0.000	14.449
Property Aq - Project Highland	11.106	0.000	0.000	0.000	0.000	11.106
Property Aq - Project Albert	10.088	0.000	0.000	0.000	0.000	10.088
Property Aq - Project Boot	5.563	0.000	0.000	0.000	0.000	5.563
Angel Row Project	0.000	0.000	0.642	0.000	0.000	0.642
Strategic Regeneration & Development						
Skills Hub - College Loan & Land Swap	0.000	0.000	6.000	6.000	7.600	19.600
58 Carlton Road Refurbishment	0.360	0.000	0.000	0.000	0.000	0.360
Broadmarsh Enabling Works	0.166	0.000	0.000	0.000	0.000	0.166
Bulwell Market Place Refurbishment	0.100	0.000	0.000	0.000	0.000	0.100
TOTAL - Other Services	42.255	1.840	9.175	5.569	10.216	69.055
TOTAL ADDITONS	43.125	8.008	9.175	5.569	10.216	76.093

Details	Net Amount £m	Department		Portfolio	
		From	To	From	To
Strategic Choice realignment	0.074	within S&R		SRN	CYS
Community Centres	0.987	within C&O		ADH	SRN
Procurement Team	0.660	within S&R		BGT	ADH
Voluntary Sector	2.295	S&R	C&O	SRN	CYS
Business Development & Innovation	0.449	within C&O		BGT	CYS
Support Services	0.632	within C&O		LCT	CYS
Transfer Station	0.054	within C&O		SRN	CYS
Adjustment to utility budgets	0.014	within C&O		ESU	BGT
Commercial Development & Business Innovation	0.113	within C&O		LCT	BGT
Salary budget realignment	0.043	within C&O			
Technical adjustment for Prudential Borrowing	5.016	D&G	Corporate Budgets	BGT	RNR
	1.571			within RNR	
	4.913			EES	RNR
	16.821				

Key	Department
CA	Children & Adults
C&O	Commercial & Operations
CX	Chief Executive
D&G	Development & Growth
S&R	Strategy & Resources

Key	Portfolio
ADH	Adults and Health
BGT	Business, Growth & Transport
CYS	Community Services
EES	Education, Employment & Skills
ELY	Early Intervention & Early Years
ESU	Energy & Sustainability
LCT	Leisure & Culture
PLNH	Planning & Housing
RNR	Resources & Neighbourhood Regeneration
SRN	Strategic Regeneration

MOVEMENTS IN RESERVES REQUIRING APPROVAL 2016/17

APPENDIX C

Portfolio	Reserve Name	Description	MTFP decisions (already approved) £m	Reserve to Reserve £m	Replenishment £m	Use of Reserves £m	Grand Total £m	
Adults and Health	Care Act Grant	Advocacy Provision				0.045	0.045	
		CCO Post in Unplanned Review				0.015	0.015	
		Deprivation of Liberty Safeguards (DoLS)				0.389	0.389	
		Deputyship Project				0.044	0.044	
		Deputyship Resources				0.013	0.013	
		LAS Trainer				0.015	0.015	
		To support Adult Social Care Care Act requirements.				0.279	0.279	
		Whole Life Disability				0.044	0.044	
	Agreed budget carry forward	2015-16 Carry Forward: CDP element of the PH ring-fenced grant					0.049	0.049
		2015-16 Carry Forward: communications software, signage and income generation					0.100	0.100
	C&F (HCH) Trans Resve	DDM 0777	0.029				0.029	
	Emergency Hardship Funds	Emergency Support Staffing re: Discretionary Hardship Support Scheme 2015/16	0.170				0.170	
	Job Evaluation - Equal Pay	Deprivation of Liberty Safeguards (DoLS) Team					0.001	0.001
		Pensions Auto Enrolment					0.019	0.019

Portfolio	Reserve Name	Description	MTFP decisions (already approved) £m	Reserve to Reserve £m	Replenishment £m	Use of Reserves £m	Grand Total £m
		South Community Learning Disability Team				0.002	0.002
		Spring Meadow				0.001	0.001
	Nottingham First Project	M&C ongoing contribution as part of the Work Place Strategy	0.070				0.070
	Public Health Transition Rsve	Revenue Contribution to PH Reserve	(0.234)				(0.234)
Adults and Health Total			0.035			1.016	1.051
Business, Growth & Transport	2010-11 Rev Grants Unapplied	Contribution to EMC				0.020	0.020
	Agreed budget carry forward	2015-16 Carry Forward: Traffic & Safety				0.024	0.024
	DD2192 ESIF Youth Employment Initiative (YEI)	Required for 16/17 payment to Sustainable Transport				0.160	0.160
		support Youth Employment activities				(0.320)	(0.320)
	Workforce Issues	Cycling and Roadspace Transformation				0.001	0.001
		Pensions Auto Enrolment				0.015	0.015
	Maintaining car parks	Replacement of equipment at Multi Storey Car Parks	0.075				0.075

Portfolio	Reserve Name	Description	MTFP decisions (already approved) £m	Reserve to Reserve £m	Replenishment £m	Use of Reserves £m	Grand Total £m
	Shopmobility	Shopmobility - Annual Contribution	(0.003)				(0.003)
Business, Growth & Transport Total			0.073		(0.320)	0.219	(0.028)
Community Services	Agreed budget carry forward	2015-16 Carry Forward: Change Champions programme to support the great Workforce Programme and extension of Corporate training to support commercialism				0.027	0.027
		2015-16 Carry Forward: IT infrastructure costs to support on line production of colleague communications				0.010	0.010
		2015-16 Carry Forward: Support the promotion material for Tap the Gap				0.012	0.012
		Workforce development				0.057	0.057
	HMO - Discretionary	5 year cycle for fee income - required to continue service - year 4				0.227	0.227
	HMO - Mandatory	5 Year income cycle - year 4 require drawdown to continue the service				0.023	0.023

Portfolio	Reserve Name	Description	MTFP decisions (already approved) £m	Reserve to Reserve £m	Replenishment £m	Use of Reserves £m	Grand Total £m
	HMO Licensing	5 year cycle - income required for year to ensure continuation of scheme - Yr 4				0.170	0.170
	Insurance Reserve	Community protection emergency response				0.030	0.030
	Workforce Issues	Legal support for employment matters (CCR0020)				0.080	0.080
		OT & Children in Care				0.002	0.002
		Pensions Auto Enrolment				0.054	0.054
		Safer Housing, Environmental Health				0.001	0.001
		To support the delivery of the Corporate Apprentices Programme 2016/17				0.305	0.305
		To support the delivery of the targeted Apprentices Programme 2016/17				0.234	0.234
	Transforming Services	Contribution to the funding of Corporate Transformation Initiatives in 2016/17	0.085				0.085
	Community Services Total		0.085			1.233	1.318
Corporate	Agreed budget carry forward	15-16 Carry Forwards Agreed at Exec Board 28June16					(1.588)

Portfolio	Reserve Name	Description	MTFP decisions (already approved) £m	Reserve to Reserve £m	Replenishment £m	Use of Reserves £m	Grand Total £m
		2015-16 Carry Forward: Match funding for Stage 2 of the ACE bid				0.340	0.340
		2015-16 Carry Forward: used to support the ACE bid at NTRCH				0.339	0.339
		Risk and Contingency Reserve				0.779	0.779
		IT Investment Fund from Agreed Budget Carry Forward				0.280	0.280
	Arboretum	Arboretum reserve			(0.388)		(0.388)
	Area Committees	Area Committee Reserve			(0.250)		(0.250)
	BDI Loan Fund	Realignment of BDI loan fund				1.059	1.059
	Bldg Sch for the Future	BSF money to BSF reserve			(0.044)		(0.044)
	Breakaway Motor Project	Breakaway Motor Project reserve			(0.044)		(0.044)
	Business Growth Incentive BGI	Realignment of reserve				0.100	0.100
	Business Rates Reserve	Collection Fund Reserve				3.423	3.423
		MTFP contribution	2.763				2.763

Portfolio	Reserve Name	Description	MTFP decisions (already approved) £m	Reserve to Reserve £m	Replenishment £m	Use of Reserves £m	Grand Total £m
	Capital Programme ('TM)	Technical adjustment – funding for the General Fund Capital Programme			(11.000)		(11.000)
	Clifton Town Centre	Capital reserves established from revenue resources to support revenue expenditure in respect of the Clifton Town Centre		(0.500)			(0.500)
	Collection Fund Reserve	Move surplus to Collection Fund Reserve			(3.423)		(3.423)
	Community	Move Community money to Community reserve			(0.020)		(0.020)
	Contingency Reserve	Contribution to Nottingham Jobs Fund				0.700	0.700
		Move Contingency balance to Risk and Contingency Reserve				0.816	0.816
		transfer from contingency reserve for Being Great Fund				0.015	0.015
	Contribution to MTFP	MTFP contribution	1.000				1.000
	DD1979 Bulwell Town Centre	to support revenue expenditure in respect of the Bulwell Town Centre Action Plan DD2491		(0.382)			(0.382)
	E-Government/IT Fund	Base revenue budget provision	(3.275)				(3.275)

Portfolio	Reserve Name	Description	MTFP decisions (already approved) £m	Reserve to Reserve £m	Replenishment £m	Use of Reserves £m	Grand Total £m
		Move to IT Investment Fund from Agreed Budget Carry Forward			(0.280)		(0.280)
	Emergency Hardship Funds	Realign Emergency Hardship Reserve				0.178	0.178
	Flexible Fitness Equipment	To Flexible Fitness reserve			(0.647)		(0.647)
	Good to Great	MTFP contribution	(1.607)	0.259			(1.348)
	Innovation Fund	Use of Innovation fund				0.995	0.995
	Insurance Reserve	Insurance Reserve			(0.316)		(0.316)
	Investment Strategy	Move to Investment Strategy Reserve		(0.259)	(0.820)		(1.079)
		MTFP contribution	4.178				4.178
	Inward Investment Fund	Move Inward Investment to Workforce Reserve				0.750	0.750
	Workforce Issues reserve	Technical adjustment to fund increase in pension costs			(3.082)		(3.082)
		Transforming Services			(2.710)		(2.710)
		MTFP contribution	(4.772)				(4.772)
		transfer from contingency reserve for Being Great Fund				(0.015)	(0.015)

Portfolio	Reserve Name	Description	MTFP decisions (already approved) £m	Reserve to Reserve £m	Replenishment £m	Use of Reserves £m	Grand Total £m
	Library Transformation	Move Library Transformation money to Library Transformation reserve			(0.290)		(0.290)
	NET City Reserve Fund	MTFP contribution	0.693				0.693
	Nottingham First Project	Area Committee Reserve				0.250	0.250
		Move to Investment Strategy Reserve				0.820	0.820
	Nottingham in Bloom	Move Nottingham in Bloom money to Nottingham in Bloom reserve			(0.096)		(0.096)
	Prudential Borrowing	Technical adjustment - reserve for PB cost contribution			(2.958)		(2.958)
	Rev Reserves for Capital	Capital reserves established from revenue resources to support revenue expenditure in respect of the Bulwell Town Centre Action Plan DD2491		0.382			0.382
		Capital reserves established from revenue resources to support revenue expenditure in respect of the Clifton Town Centre		0.500			0.500

Portfolio	Reserve Name	Description	MTFP decisions (already approved) £m	Reserve to Reserve £m	Replenishment £m	Use of Reserves £m	Grand Total £m
		DD 2128 Transfer from revenue generated reserves for capital projects diverted to support Economic Dev activities	0.300				0.300
		Move Arboretum money to Surplus from Arboretum reserve				0.388	0.388
		Move Breakaway Motor Project money to Breakaway Motor Project reserve				0.044	0.044
		Move BSF money to BSF reserve				0.044	0.044
		Move Community money to Community reserve				0.020	0.020
		Move Flexible Fitness money to Flexible Fitness reserve				0.647	0.647
		Move Library Transformation money to Library Transformation reserve				0.290	0.290
		Move Nottingham in Bloom money to Nottingham in Bloom reserve				0.096	0.096
		Move Russell Youth Club money to Russell Youth Club reserve				0.050	0.050
		Move Sports Development money to Sports Development reserve				0.076	0.076

Portfolio	Reserve Name	Description	MTFP decisions (already approved) £m	Reserve to Reserve £m	Replenishment £m	Use of Reserves £m	Grand Total £m
		Us of former DSO's money to Surplus				0.030	0.030
		Support Youth Employment activities				0.320	0.320
	Revenue Implications of Capital Schemes	MTFP contribution	(0.895)				(0.895)
	Risk and Contingency Reserve	Move Contingency fund to Risk and Contingency Reserve			(0.816)		(0.816)
		Risk and Contingency Reserve			(0.779)		(0.779)
	Risk Management Reserve	Move to Insurance Reserve				0.191	0.191
	Risk Mgmt Disaster Recovery	Move to Insurance Reserve				0.125	0.125
	Russell Youth Club	Move Russell Youth Club money to Russell Youth Club reserve			(0.050)		(0.050)
	Sports Development	Move Sports Development money to Sports Development reserve			(0.076)		(0.076)
	Surplus from Former DSO's	Technical adjustment			(0.030)		(0.030)

Portfolio	Reserve Name	Description	MTFP decisions (already approved) £m	Reserve to Reserve £m	Replenishment £m	Use of Reserves £m	Grand Total £m
	Transforming Services	Move Transforming Services Reserve to Workforce Reserve				2.710	2.710
	Treasury Management Reserve	Technical adjustment - funding for the Capital Programme				9.411	9.411
		Prudential Borrowing technical cost contribution				2.958	2.958
Corporate Total			(1.615)	0.000	(28.579)	28.689	(1.504)
Early Intervention & Early Years	Future Nottingham	Development & maintenance of the YCA website for 2017 YCA Awards				0.002	0.002
		One Nottingham & YCA social media channels and promotional material, to promote partnership initiatives				0.003	0.003
	Workforce Issues reserve	Crocus Fields				0.002	0.002
Leaving Care Service					0.004	0.004	
		Pensions Auto Enrolment				0.063	0.063
		SHARP (Trans4Me)				0.002	0.002
		Wood View Residential Home				0.002	0.002
Early Intervention & Early Years Total						0.078	0.078

Portfolio	Reserve Name	Description	MTFP decisions (already approved) £m	Reserve to Reserve £m	Replenishment £m	Use of Reserves £m	Grand Total £m
Education, Employment & Skills	Agreed budget carry forward	Contribution towards the security and repairs of former school buildings that have been declared surplus due to the Building Schools for the Future programme	0.015				0.015
	Contingency Reserve	Developing Digital Infrastructure agenda	0.048				0.048
		Slippage Alfreton Road Improvements (CCR00011)				0.050	0.050
	DD1979 Bulwell Town Ctr	Bulwell Town Centre Action Plan development	0.042				0.042
	DD2128 Nottm Growth Plan 2015-18	Economic Development activities to support Nottingham Growth Plan	0.260				0.260
	Farnborough PFI Project	Contribution to BSF PFI (BSF Final Business Case)	(0.336)				(0.336)
	Investment Strategy	DDM 2530 - Creative Quarter Loan Fund				0.250	0.250
		Expenditure incurred in respect of the Vacant Shop scheme				0.054	0.054
	Workforce Issues reserve	Pensions Auto Enrolment				0.002	0.002
	Jobs Fund	2016/17 Expenditure in respect of Nottingham Jobs Fund	0.700				0.700
		Contribution to NJF			(0.700)		(0.700)

Portfolio	Reserve Name	Description	MTFP decisions (already approved) £m	Reserve to Reserve £m	Replenishment £m	Use of Reserves £m	Grand Total £m
	Nottingham Investmt Fund DDM1472	DD 1472 Foresight Nottingham Fund	0.200				0.200
	SME Loan Fund	DDM0654 Creative Quarter Loan fund	0.045				0.045
Education, Employment & Skills Total			0.974		(0.700)	0.356	0.630
Jobs, Growth & Transport	DD2128 Nottm Growth Plan 2015-18	DD 2128 Transfer from revenue generated reserves for capital projects diverted to support Economic Dev activities	(0.300)				(0.300)
Jobs, Growth & Transport Total			(0.300)				(0.300)
Leisure & Culture	Centre Transformation (Inv 2 Save)	2015-16 Carry Forward: Match funding for Stage 2 of the ACE bid			(0.340)		(0.340)
	Contingency Reserve	Additional Grant for Nottingham Carnival				0.003	0.003
	Workforce Issues Reserve	Comms and Marketing/Communities - Sport and Culture				0.001	0.001
		Exhibitions				0.002	0.002
		Horticultural Services Operational Team-				0.002	0.002

Portfolio	Reserve Name	Description	MTFP decisions (already approved) £m	Reserve to Reserve £m	Replenishment £m	Use of Reserves £m	Grand Total £m
		Parks & Open Spaces - Horticultural Operations, Central Arboretum				0.002	0.002
		Parks & Open Spaces - Vernon Park				0.002	0.002
		Pensions Auto Enrolment				0.020	0.020
		Sport & Leisure				0.001	0.001
		Theatre Royal & Royal Concert Hall - Access Development -				0.002	0.002
		Theatre Royal and Royal Concert Hall				0.002	0.002
	Lawn Tennis Association	NOTTINGHAM OPEN SPEND 2016/17 - Updating of the Tennis Centre Changing facilities to be used by the players in the tournament.				0.020	0.020
	LTA - Contribution	LTA - Annual Contribution	(0.008)				(0.008)
	Mercury Filtration Reserve	Annual Contribution to Mercury Filtration	(0.036)				(0.036)

Portfolio	Reserve Name	Description	MTFP decisions (already approved) £m	Reserve to Reserve £m	Replenishment £m	Use of Reserves £m	Grand Total £m
	Nottingham in Bloom	Drawdown to cover transitional arrangements to support out-sourcing (marketing, upgrades etc) delivery of Bulwell Hall Golf facility to generate secure income following procurement process currently being undertaken				0.096	0.096
	Rev Reserves for Capital	Carrington Street - HLF Match funding. Project 19470			(0.025)		(0.025)
	Royal Centre Mtnce	2015-16 Carry Forward: used to support the ACE bid at NTRCH			(0.339)		(0.339)
		Royal Centre capital investment strategy	(0.070)				(0.070)
	Southglade FP - Contrib	Southglade FP - Annual Contribution	(0.035)				(0.035)
Leisure & Culture Total			(0.149)		(0.704)	0.152	(0.701)
Planning & Housing	Agreed budget carry forward	2015-16 Carry Forward: Traffic & Safety				0.032	0.032
	Carrington Townsc Jul15EB	Carrington Townscape Contbn July 2015 Exec Board	(0.050)				(0.050)

Portfolio	Reserve Name	Description	MTFP decisions (already approved) £m	Reserve to Reserve £m	Replenishment £m	Use of Reserves £m	Grand Total £m
	Flood Risk Management	Reserve established for potential match funding in seeking external grant to address flood risk areas in Nottingham. Approvals in place for support joint working with partner agencies			(0.082)		(0.082)
	Workforce Issues Reserve	Pensions Auto Enrolment				0.002	0.002
	Traffic Maintenance	Works to the OMS fountain as approved in DDM2304				0.050	0.050
Planning & Housing Total			(0.050)		(0.082)	0.084	(0.048)
Resrces & Neighbourhood Regenrn	Agreed budget carry forward	15-16 Carry Forwards: Contribution towards the transformation to support PCI Compliance				0.086	0.086
		2015-16 Carry Forward: Traffic & Safety				0.027	0.027
	East Midlands Council	Pension Deficit installment to Leics CC				0.075	0.075
	E-Government/IT Fund	IT Efficiency Fund - East Midlands Shared Service - Repayment on Borrowing	0.669				0.669
	Emergency Hardship Funds	Emergency Support Staffing re: Discretionary Hardship Support Scheme 2015/16	0.320				0.320

Portfolio	Reserve Name	Description	MTFP decisions (already approved) £m	Reserve to Reserve £m	Replenishment £m	Use of Reserves £m	Grand Total £m
	Insurance Reserve	Closed Fund contribution				0.300	0.300
		Fund Valuation - payment to MMI				0.219	0.219
	Workforce Issues Reserve	Pensions Auto Enrolment				0.009	0.009
	NHS LIFT-Bulwell	Reserve replenishment - Bulwell Riverside Joint Service Centre	(0.488)				(0.488)
	NHS Local Imp Finance (LIFT)	Reserve replenishment - Clifton Cornerstone	(0.007)				(0.007)
		Reserve replenishment - Mary Potter	(0.133)				(0.133)
	Property Maintenance	Property Capital Maintenance Work slippage	0.358				0.358
	Prudential Borrowing	Reserve transfer to new reserve for PB cost contribution from TM budget 16/17				(4.075)	(4.075)
Treasury Management Reserve	Treasury Management underspend re slippage				(1.444)	(1.444)	
Resrces & Neighbourhood Regenrn Total			0.719		(5.518)	0.717	(4.083)
Strategic Regeneration	Workforce Issues	Pensions Auto Enrolment				0.002	0.002
	R&R Fund - Ashgate Retail Park	Contribution to Sinking Fund	(0.014)				(0.014)

Portfolio	Reserve Name	Description	MTFP decisions (already approved) £m	Reserve to Reserve £m	Replenishment £m	Use of Reserves £m	Grand Total £m
	R&R Fund - Castlebridge Retail Villa	Contribution to Sinking Fund	(0.003)				(0.003)
	R&R Fund - Southglade FP	Replenishment of reserve for Southglade Food Park Repairs	(0.020)				(0.020)
Strategic Regeneration Total			(0.037)			0.002	(0.036)
Grand Total			(0.265)	0.000	(35.457)	32.099	(3.623)

DEBTORS MONITORING TO 31 DECEMBER 2016

Debtors - Performance Review – Q3 2016-17	Q1 June	Q2 Sept	Q3 Dec
BVPI 66a - Housing Rent Collection (%) <i>(cumulative - current tenants only)</i>			
<i>(arrears + debit)</i> Actual	97.41	97.55	98.17
Target	98.40	98.40	98.40
Last Year Actual 2015-16	97.17	97.78	98.19
BVPI 9 - Council Tax Collection (%)			
<i>(in year cumulative)</i> Actual	26.15	50.94	76.93
Target	25.90	50.80	76.20
Last Year Actual 2015-16	26.30	51.00	76.60
BVPI 10 - NNDR Collection (%)			
<i>(in year cumulative)</i> Actual	29.25	55.72	80.39
Target	29.20	55.50	80.50
Last Year Actual 2015-16	31.28	55.62	81.16
Sundry Income Collection (%)			
<i>(12 month rolling average)</i> Actual	82.40	77.30	81.70
Target	99.00	99.00	99.00
Last Year Actual 2015-16	79.40	79.70	80.30
Sundry Income Debtor Days -General			
Actual	30.00	32.00	37.00
<i>(12 month rolling average)</i> Target	32.30	32.30	32.30
Last Year Actual 2015-16	31.00	33.00	32.00
Estates Rents Collection (%)			
Actual	94.19	95.13	95.31
<i>(12 month rolling average)</i> Target	97.50	97.50	97.50
Last Year Actual 2015-16	96.80	96.77	95.80
Adult Residential Services Collection (%)			
Actual	95.55	96.19	95.87
<i>(12 month rolling average)</i> Target	95.90	95.90	95.90
Last Year Actual 2015-16	95.42	95.37	95.95

